

THE UNITED STATES PATENT AND TRADEMARK OFFICE

HP 8/5

Applicants: Compton, *et al.*
Application No.: 09/997,277
Filed: November 29, 2001
Title: Oral Delivery Formulation
Docket No.: 1012-02

Art Unit: TBD
Examiner: TBD

BOX FEE
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: BOX FEE AMENDMENT, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

10/25/02
date of signature and mail
deposit

By: [Signature]
Stephen J. Gaudet
Reg. No. 48,921
Attorney for Applicants

LETTER OF TRANSMITTAL

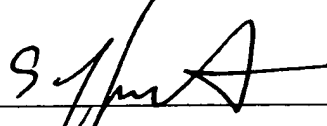
Sir:

In reply to the Notice to File Missing Parts dated 3/25/2002, Applicants supply the following items: (1) a new Declaration and Power of Attorney appointing the undersigned attorney to represent Applicants in the above-identified case, (2) a request for a five (5) month extension, (3) a check (No. 1654) in the amount of \$2,143.00 (this amount covers the fee of \$1163.00 as indicated in the 3/25/02 Notice as well as \$980 for

a five month extension pursuant to CFR 1.17(a)(5)), and (4) a copy of the Notice to File Missing Parts.

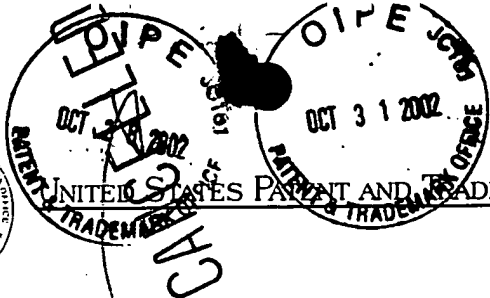
Should there be any remaining outstanding issue in this matter, the Examiner is invited to call the undersigned attorney at (603) 890-4099.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'S. J. Gaudet', written over a horizontal line.

Stephen J. Gaudet, Ph.D.
Reg. No. 48,921
Attorney for Applicants

Date: 10/25/02



COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,277	11/29/2001	Bruce Jon Compton	N0377/7005(ERG)

CONFIRMATION NO. 3115

FORMALITIES LETTER



OC00000007708634

Edward R. Gates, Esq.
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210

Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$728.
 - \$504 for 56 total claims over 20.
 - \$84 for 2 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1163.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/01/2002 MBERHE 00000015 09997277

01 FC:2001
02 FC:2051
03 FC:2201
04 FC:2202
05 FC:2203

370.00 OP
65.00 OP
84.00 OP
504.00 OP
140.00 OP